



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: March 1, 2000

For: METHOD AND APPARATUS FOR
TRADING SECURITIES OR OTHER
INSTRUMENTS ON BEHALF OF
CUSTOMERS

Group Art Unit: 3624

Examiner: KAZIMI, H.

RECEIVED

AUG 25 2004

GROUP 3624

MS AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF APPEAL

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences the decision of the Examiner, dated February 18, 2004, finally rejecting the pending claims of the above-referenced application. Please charge payment of the \$165.00 small entity appeal fee to Kenyon & Kenyon's Deposit Account No. 11-0600.

Although not believed necessary, the Office is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account No. 11-0600. Please note that the three-month small entity extension of time fee of \$475.00 was authorized in the Request for Reconsideration filed in this application on even date.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,


Bradley J. Meier
(Reg. No. 44,236)

Dated: August 18, 2004

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01 FC:2401 165.00 DA

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02 FC:2253 475.00 DA